PROJECT COMPLETION REPORT

ON

DEVELOPMENT OF DATABASE OF EQUIPMENT SUPPORTED UNDER EXTRAMURAL R&D PROJECTS SANCTIONED DURING 2008-09 TO 2010-11 PERIOD (PHASE II)

(Project Reference F.No.DST/NSTMIS/05/174/2014-15)

A STUDY

CONDUCTED BY THE ENERGY AND RESOURCES INSTITUTE (TERI) DARBARI SETH BLOCK IHC COMPLEX, LODHI ROAD NEW DELHI – 110 003

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For more information

Knowledge Management Division T E R I Darbari Seth Block IHC Complex, Lodhi Road New Delhi – 110 003 India

Tel. 2468 2100 or 2468 2111 E-mail tpsankar@teri.res.in Fax 2468 2144 or 2468 2145 Web www.teriin.org India +91 • Delhi (0) 11 Mobile: 09810793533

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T P Sankar (Project Investigator)

New Delhi April 2017 (i)

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1.0 <u>EXECUTIVE SUMMARY</u>

The progress of science and the competitive position of a nation's science base depend upon the availability and access of advanced research infrastructure. Scientific equipments forms an important component of research infrastructure and enables scientists to carry out development of research. In a wider perspective, it is critical to recognize that scientific equipments and research infrastructure are intimately associated with policy frameworks that facilitate and enable procurement, maintenance and disposal of scientific equipments, and management systems for providing information on accessibility and sharing of trained manpower.

The National Science and Technology Management Information System, a division of the Department of Science and Technology, Government of India, has initiated a nation-wide study for development of database of equipment funded under R&D projects and other S&T research infrastructure schemes of the Central Government during the period 2008-09 to 2010-11.

The task of surveying and data collection exercise was carried out and with the cooperation of over 793 project investigators and heads of institutions. The study has resulted in the creation of a web-based database presenting details of 431 extramural research projects and other S&T infrastructure schemes such as Fund for Improvement of S&T Infrastructure in Universities and Higher Educational Institutions (FIST) having 890 items of specialized; multi-disciplinary and multi-user equipments each costing Rs 10 lakh and above from 179 academic institutions and R&D organisations located across the country.

The study has also analyzed the data received from project investigators and prepared a report covering the funding pattern of equipment, city- and state-wise distribution, and gender representation, among other. A summary of which is given below for quick reference. Recommendations and suggestions that emerged from the study are given at the end of the report.

Findings and recommendations are based on responses to the questionnaire received from project investigators, universities and higher educational institutions representing 431 projects sanctioned during the period **2008-09** to **2010-11**. It is, therefore, presumed that the information furnished by nodal officers was authentic and accurate in all respects.

Findings at a Glance

- Coverage of study: The survey covered 1564 projects each costing Rs 50 lakh and above spread over 570 institutions across the country. It found that of the 1197 responses received, 431 projects had equipments each costing Rs 10 lakh and above and 766 projects with either no equipments or having equipment each costing less than Rs 10 lakh.
- Equipment grant funding by scientific agencies. During the period 2008-09 to 2010-11, the total grant for obtaining 890 items of equipments under 431 projects were Rs 54,564 lakh.
 - Department of Science and Technology (DST) Rs 24518 lakh;
 - Ministry of Communication and Information Technology (MOCIT) Rs 12573 lakh;
 - Department of Biotechnology (DBT) Rs 9512 lakh;
 - o Ministry of New and Renewable Energy (MNRE) Rs 2468 lakh; and
 - Department of Scientific and Industrial Research (DSIR) Rs 2160 lakh

are the five largest contributors and they together accounted for about 94% of the total equipment funding during the period.

- Recipient Institutions. Maximum funding support for obtaining equipments was sanctioned to
 - o Indian Institute of Technology Bombay (Rs 6700 lakh); followed by
 - Indian Institute of Technology Madras (Rs 6353 lakh);
 - Indian Institute of Science Bangalore (Rs 5065 lakh);
 - Indian Institute of Technology Delhi (2999 lakh);
 - Eco Recycling Limited (Rs 2110 lakh);
 - National Centre for Cell Science (Rs 1842 Lakh);
 - Inter-University Accelarator Centre (Rs 1436 lakh);
 - Calcutta University (Rs 1137 lakh);
 - Centre for Biochemical Magnetic Resonance (Rs 1059 lakh); and
 - Indian Institute of Technology Kanpur (Rs 962 lakh).

These ten institutions together received Rs 29664 lakh accounting for 54% of total funding.

- Cost range of equipment. The maximum number of R&D equipment obtained was in the cost range of Rs 10 to 25 lakh (428 items at a total cost of Rs 6784 lakh) constituting 12% of the total cost of equipment; Rs 25 to 50 lakh (225 items at Rs 8156 lakh) constituting 15% of total cost; Rs 50 to Rs 75 Lakh (71 items at Rs 4336 lakh) 8%; Rs 75 lakh to Rs 1 Crore (44 items at Rs 3841 lakh) 7%; and Rs 1 crore and above (122 items at Rs 31446 lakh) constituting 58% of total cost of equipment, indicating a greater support to high value equipment.
- ➤ Gender representation among PIs. The study of gender-wise allocation of projects has revealed that there were 75 female and 328 male PIs (403) representing 431 projects having equipments each costing Rs 10 lakh and above; the percentage of female representation of these projects has been 19 compared to that of 81 of male PIs.
- ➤ Purchase of equipment. The study also revealed that majority of the equipments was imported (94% at a total cost of Rs 51345 lakh) as against indigenously manufactured equipment (6% at a total cost of 3219 lakh).
- Sharing of Equipment. An analysis of the data received from PIs revealed that of the 890 items of equipments installed and operational at various institutions across the country, 624 items (70%) are already shared indoors or PIs willing to share with outside researchers/agencies. The 266 items (30%) of equipments purchased or indigenously made are used only for internal research purposes and/or configured to be used as per the requirement of the project or internal training purposes.
- City-wise Distribution. The city-wise analysis has revealed that equipments funding was dispersed among the 261 institutions located in 80 cities and towns in the country. Among these locations, 66 institutions based in seven metropolitan cities, viz. Bangalore, New Delhi, Chennai, Hyderabad, Mumbai, Kolkata, and Pune received about 71% of the total funding; and the rest in other 73 cities and towns gained about 29% of the total grant support.
- State-wise Distribution. About 80% of the equipment funding was received by the institutions located in six states, Maharashtra (Rs 14192 lakh), Tamil Nadu (Rs 8645 lakh), New Delhi (Rs 7365 lakh), Karnataka (Rs 6448 lakh) West Bengal, (Rs 4028 lakh) and Kerala (Rs 2989 lakh). The share of three north-eastern states, viz. Assam, Manipur, and Meghalaya accounted for only 2% of the total funding.

Stud	y at a Glance
Period under study	2008-09 to 2010-11
Total number of projects	1564
Funding agencies	14
Number of Institutions	573
Number of States/UTs	30
Outcome of Da	ta Collection Exercise
Number of project-based responses	1197
Number of projects having	431
equipments each costing Rs 10 lakh	
and above	
Number of projects having	766
equipments each costing less than	
<u>Rs 10 lakh or no equipments</u>	
PI-based responses (Total Number of	403 [75 female and 328 male PIs]
PIs)	
Total Grant for equipments	Rs 54,564 lakh
Funding agencies	14
Number of Institutions	179
Number of cities associated	79
Number of states associated	23

Terms to read	Denotes
hereinafter	
PROJECTS	Extramural sponsored research and other S&T infrastructure schemes
PIs	Project Investigators
EQUIPMENTS	Scientific equipments each costing Rs 10 Lakh and above
FUNDING AGENCIES	Ministries and Departments of Government of India
INSTITUTIONS	Project Implementing Institutions, universities, and higher education
	institutions
PERIOD OF STUDY	2008-09 to 2010-11

2.0 <u>OBJECTIVES</u>

- Conduct a study for development of a database of scientific equipments, valued over Rs 10 lakh, supported under extramural research projects and other S&T infrastructure schemes, each costing Rs 50 lakh and above approved for funding by Central Government agencies and departments during the period 2008-09 to 2010-11;
- Develop a searchable database; provide access to; enable sharing and using science equipment among scientific community, institutions and research personnel

3.0 <u>METHODOLOGY</u>

At the project start-up, the team developed an initial plan with potential approaches for data collection, timeline, and action steps as below:

3.1 Identification of projects

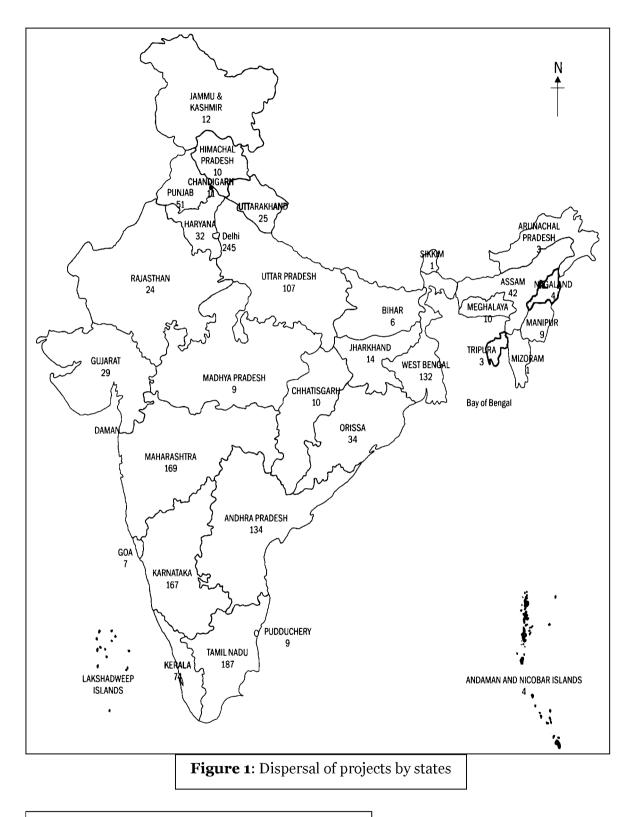
(i) The study started off with a creation of a catalogue of projects in accordance with the study objectives. It identified a total of 1564 projects funded under extramural sponsored research and other S&T infrastructure schemes such as Fund for Improvement of S&T Infrastructure in Universities and Higher Educational Institutions, each costing Rs 50 lakh and above.

The year-wise break-up of projects funded by the central government ministries and departments and the state-wise break-up of projects located across 30 Indian states/UTs are given in Table 1, Table 2 and Map 1, respectively.

Year of funding	No. of projects
2008-09	431
2009-10	432
2010-11	701
Total	1564

State/UTs	Projects	State/UTs	Projects	State/UTs	Projects
Delhi	245	Orissa	34	Manipur	9
Tamil Nadu	187	Haryana	32	Mdhya Pradesh	9
Maharastra	169	Gujarat	29	Puducherry	9
Karnataka	167	Uttarakhand	25	Goa	7
Andhra Pradesh	134	Rajasthan	24	Bihar	6
West Bengal	132	Jharkhand	14	Amdaman & Nicobar	4
Uttar Pradesh	107	Jammu & Kashmir	12	Arunachal Pradesh	3
Kerala	74	Chandigarh	11	Nagaland	4
Punjab	51	Himachal Pradesh	10	Tripura	3
Assam	42	Meghalaya	10	Sikkim	1

Table 2: Distribution of projects by states



The numbers indicate projects each costing Rs 50 Lakh and above

3.2 Survey Form

A survey form was designed keeping in view the objective of the project and in consultation with the Local Project Advisory Committee constituted for the project *(Annexure I)*. The form contained two portions: viz. (i) project related information, and (ii) equipment related questions such as name of equipment purchased under the project, cost of each equipment, date of purchase of equipment, source of purchase (imported/indigenous); equipment make & model, major specifications, equipment capability, resource sharing, performance of equipment, etc.

3.3 Coverage of project implementing institutions for data collection

The target group for equipment data collection was principal investigators, heads of departments of universities and higher education institutions who have undertaken the projects during the period 2008-09 to 2010-11.

The data collection was carried out in two phases as under:

<u>Phase I - Preparatory survey</u>: The survey questionnaires with respect to 1564 projects were forwarded to the PIs and heads of departments of research institutions, universities and higher education institutions via email and post.

<u>Phase II - Data collection phase</u>: In this phase, survey was conducted through field visits and in-depth interactions and interviews were held with PIs/nodal officers/heads of institutions.

These institutions consisted of universities, engineering colleges, medical colleges and hospitals, national research laboratories, scientific and industrial research organizations.

<u>Phase III - Stakeholders' workshop</u>: In order to provide an opportunity to project investigators and scientists to share experiences related to scientific equipments; promote the Equipments Database and facilitate a culture of sharing scientific equipment; and evolve a standardized interoperable database of scientific equipment, a stakeholders' workshop was organized during the project period.

3.4 Outcome of Data Collection Exercise

The survey received **1197** responses from **1564** projects. (A sample survey questions along with data received from a respondent is given below)

DATABASE OF EQUIPMENT SUPPORTED	Knowledge Partners		
SANCTIONED DURING 2008-09 TO 2010-11	HC Complex, Lodhi Road, New Delhi New Delhi Alaman Alama Alaman A		
1. PROJECT DETAILS			
Project title	Development of Glycogen synthase kinase - 3B inhibitors for		
Funding Agency - Scheme & Sanction year Name with complete address of Project	neurogenesis in neurodegenerative disorders. DBT-R&D. 2010-11 Dr M. Ramanathan, Principal, P.S.G. College of Pharmacy, Peelamedu,		
Investigator / Head of the Institution	Coimbatore - 641004. Tamil Nadu		
Project cost (Rs in lakhs)	66.11		
	JIPMENT PURCHASED UNDER THIS PROJECT		
[each costing Rs 10 Lakhs and above (DNLY]		
Name of equipment	Multimode Reader with plate washer and		
(Please use separate form for each equipment)	Spectrophotometer With accessories.		
Cost of the Equipment [Bs/US Dollars/Euros] Date of purchase of equipment	18,40,3941- Rs 02.12.2011		
<pre>/ ypment Imported/Indigenous</pre>	Imported		
Equipment Make/Model	Thermofisher / Multiscan GO		
[e.g. FEI Philips XL 30]			
	High quality Momochromator, Detects wide		
Major Specifications	wavelength runge of 200-1000 nm, xenon fluch		
[<i>e.g. SE detector; GSED detector</i>] Write a line about equipment capability	Qualitative and Quantitutive Spectrophotomet		
[e.g. 3D imaging of biological specimens]	Evaluation of biological samples.		
Present location of the equipment	Molecular Blology lab, Department of		
[Dept/Lab/Site where it is installed/ operational]	Pharmacology, PSG College of pharmacy.		
A. <u>Performance of equipment</u>			
	date. If Not, please indicate the reason by tick marking the boxes below:		
Equipment not available for use due to non-availability of spare parts			
Equipment outdated/unserviceable/identified as obsolete hence condemned Consequent to the project completion, equipment not operated due to lack of qualified manpower			
	quipment not operated due to lack of qualified manpower		
☐ Any other reason (please specify) B. <u>Whether the equipment is shared p</u>			
If not shared presently, would you like to share it with outside researchers/organizations in future? 🛛 Yes / 🗌 No If No, please tick mark the reason:			
	chnical person to supervise the operation for external usage		
Apprehension over the safety of sophist			
Because the equipment is purchased execution			
	r analysis/sample preparation, hence incurs additional costs		
Any other reason (Pl specify)			
	abase of users is maintained to track usage of equipment		
	ent usage rating in terms of percentage:		
Internal <u>60 %;</u> External <u>10 %;</u> Free tin	ne <u>30%]</u>		
	Signature (Pl/Authorized signatory)		
	Signature (Pi/Authonized signatory) Date 25/3/A		

Figure 2: Sample filled in Survey Questionnaire

As can be seen from the Table 3 below, during the course of Phase 2 (2008-09 to 2010-11), from 1564 survey questionnaires, a total of 1197 responses were received. The study found that of the 1197 responses, 431 projects carried 890 items of equipment each costing Rs 10 lakhs and above; and no equipment or equipment worth less than Rs 10 lakh under the remaining 766 projects.

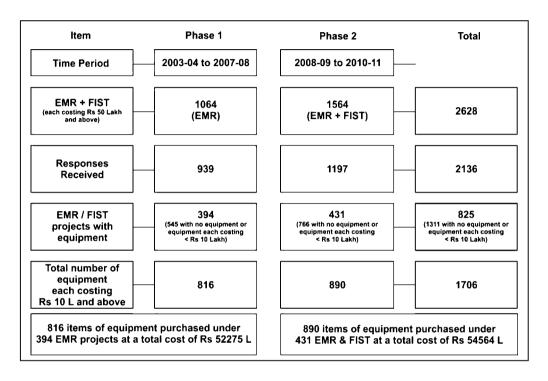


Table 3: Evaluation of survey responses

3.5 Processing and Analysis of data

The details of 890 equipment items were keyed in a specially designed template using MySQL-enabled database as per the requirement of the study. Using this structure, data analysis were performed based on specific criteria such as year-wise break-up, funding agency-wise break-up, institution-wise break-up, city- and state-wise break-up, equipment cost-wise break-up, gender-wise outcome, and so on.

3.6 Limitations of the study

Despite all efforts to achieve cent per cent data collection, the response rate was 77 per cent. While attempts are being made in collecting the remaining 23%, a few could not be received due to the following reasons:

- > PIs were transferred/no longer associated with the organization/retired/expired;
- > Project confidential/projects not approved/projects abandoned

Therefore, the strength of data collection and subsequent analysis are purely based on the 1197 project-based responses.

4.0 DESIGNING AND DEVELOPMENT OF DATABASE

A web-accessible framework using the LAMP platform was designed and developed with a querybased retrieval methodology based on the meta data fields (Figure 3). The acronym LAMP stands for Linux, Apache, MySQL, and PHP. Linux is a computer operating system assembled under the model of free and open source software development and distribution; Apache a free open source software which runs over 50% of the world's web servers; while MySQL is a powerful database management system used for organizing and retrieving data on a virtual platform; PHP is an open source web scripting language that is widely used to build dynamic webpages.

Department of Science & Technology National Science and Technology Managem Government of India	ent Information System - NSTMIS	HOME ABOUT THE DATABASE HOW TO SEARCH	H FEEDBACK LOGIN
Database of Equipment Database of Equipment supported under E Research Infrastructure programmes			
SEARCH FOR Enter Text Extramural R&D projects Research Infrastructure programmes All Projects			
Any Field Browse by category of equipment From year To year			
Select State Andaman and Nicobar Islands Andhra Pradesh Arunachal Pradesh		• http://equipment-nstmis-d	• st.org/
* press Ctrl button to select multiple entries Search Reset Advanced Search	1	, g	

Figure 3: Database of Scientific Equipments

4.1 Organization of the database

The database contains details of projects having equipments each costing Rs 10 lakh and above located across the country. The details of both projects and equipments are organized and can be accessed through a variety of search options. For example, the 'Search For' box allows users to look for details of a specific equipment, institution, project title, funding agency and/or any free text search.

As for the structure of the database, each record has been organized under three groups as given in the following section:

4.1.1 Details of Projects with respect to extramural research projects

This section in the database comprises title of the project, funding agency and scheme, year of sanction, project cost, name and affiliation of project investigator, as shown in Figure 4 below.

Department of Science Mational Science and T Government of India	& Technology Fechnology Manage	ement Information System - NSTMIS	_			FEEDBACK LOGIN	ĥ
			N	lumber of equi	oment(s): 10		I
Project	Title	Low energy ion collisions on molecular solids: Chemical properties	reactions phase tr	ansformation a	nd unique		
Fundin schem	g agency & e	DST-SERC					
/ Year of	Funding	2010-11					
/ Project lakhs}	Cost (Rs. in	444.50					
Name	of investigator	T. Pradeep (M)					
• - Design	ation	Professor					
Depart	ment	Department of Chemistry					
Organi	sation name	Indian Institute of Technology Madras (IIT Madras)					
• Addres	5	IIT Madras Chennai					
City		Chennai					
State		Tamil Nadu					
Pin cod	le	600036					
Phone	number	044 -22575929					
Email		pradeep@iitm.ac.in					•

4.1.2 Details of Equipments

Each project related group is linked to particulars of equipments purchased under the respective project. These include title of equipment, cost and date of purchase of equipment, source of purchase [imported/indigenous], current status, make and model of equipment, major specifications, capability of equipment, present location, resource sharing, classification of equipment, performance of equipment, and location of equipment. To elucidate on this, the Figure 5 below gives details of equipments purchased with respect to the EMR project above (Figure 4).

Department of Science & Technology National Science and Technology Management Information System - NS Government of India	TMIS HOME ABOUT THE DATABASE HOW TO SEARCH FEEDBACK LOGIN
Number of Equipment(s) un	der this Project : 10
lon Scatt	ering Spectrometer
Equipment Cost (Rs. in lakhs)	400
Date of purchase of Equipment	24 Jan 2011
Equipment (Imported/Indigenous)	Imported
. Current status of equipment	Installed and Functional
Make / Model	Extrel CMS
Major Specifications	Ultra-low energy ion scattering, MS, coupled with TPD
Capability	Capable of surface analysis at a very low temperature down to 10K
Present location	HSB 148, Department of Chemistry, IIT Madras, Chennai, Tamil Nadu
Resource Sharing	Shared within the organisation
Whether a Log Book/Database of Users is maintained ?	Yes
Usage of equipment (%)	Internal : 100
	Ţ

Figure 5: Details in the database with respect to equipment purchased under EMR project

4.1.3 Classification of Equipments

A classification scheme has been devised to make the equipment data retrieval as specific as possible. Under the scheme, each item of equipment in the database has been classified into 18 categories of equipment as shown in Figure 6:

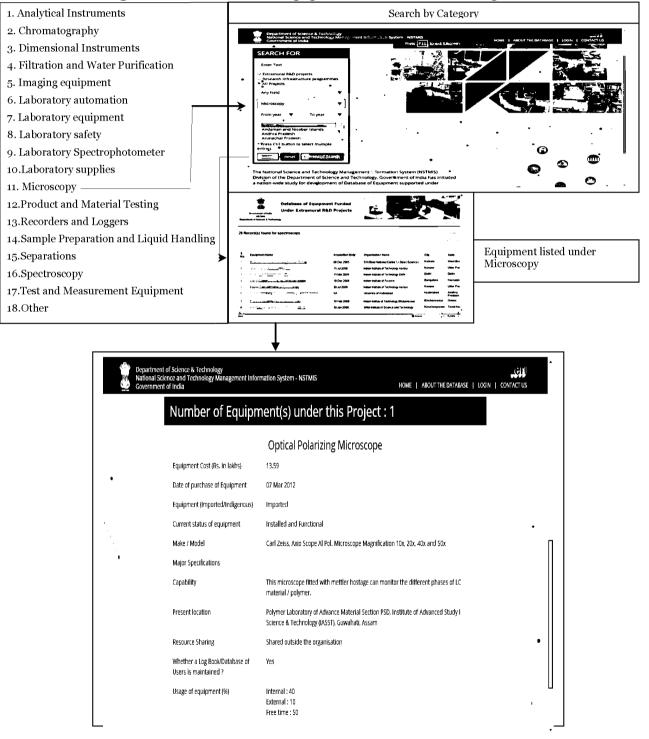


Figure 6: Classification of equipments under different categories

A drop-down list of categories has been given to facilitate access to equipments classified under specific category as listed in the Figure. The search page displays a list of equipment under the category 'Spectroscopy' along with date of acquisition, institution name and location. Each link presents particulars of equipment as shown in Figure 6.

4.1.4 Search Mechanisms

The database makes searchable over 890 items of equipments and can be accessed through a wide range of search options including simple search terms as well as complex search combinations using boolean operators (AND, OR, NOT) in various permutations and combinations. For example, the 'Search For' box allows users to look for details of a specific equipment, institution, project title, funding agency, location, free text or keywords. 'Browse by category" box limits search by selecting the category of equipment. Other search facilities include (i) selecting range of year of purchase of equipment; (ii) Selecting state where a specific organization is located.

To further refine search, an 'Advanced Search' interface has been devised to enable filter function by using the connectors — AND and OR. When AND is used, for example, "Indian Institute of Technology" AND "Analytical instruments" would only return records that contain both these terms. And, when OR is used, for example, "Indian Institute of Technology" OR "Analytical instruments" would return records that contain either of these terms.

Other features include provision to highlight search terms in the display record and **Search** button to begin search, and a **Reset** button to clear the search page. Queries conducted in the search button may be case insensitive, i.e., a word entered in lower case will match words entered in upper case, lower case, or mixed case.

In order to best facilitate users to search database effectively, a search tips document has been prepared and given in <u>Annexure II</u>

5.0 DATABASE PROMOTION, RESOURCE SHARING AND NETWORKING

5.1 Statkeholders' Workshop

A stakeholders' workshop was organized on 30 August 2016 at India Habitat Centre, Lodhi Road, New Delhi, with a view to provide a platform to project investigators and scientists to share views and provide inputs leading to policy actions for strengthening the database. The workshop was inaugurated by Prof. Ashutosh Sharma, Secretary, Department of Science and Technology, Government of India. Dr Parveen Arora, Head (CHORD-NSTMIS), Department of Science and Technology, Government of india gave the opening remarks. The objectives of the workshop were to

- Provide an opportunity to project investigators and scientists to share experiences related to scientific equipments;
- Promote the Equipments Database and facilitate a culture of sharing scientific equipments thereby promoting scientific collaboration among institutions;
- > Evolve a standardized interoperable database of scientific equipments



Figure 7: Inaugural Session: Stakeholders' Workshop Dr Parveen Arora, Head (CHORD-NSTMIS), Department of Science and Technology, Government of India; Dr Ajay Mathur, Director-General, TERI; Prof. Ashutosh Sharma, Secretary, Department of Science and Technology, Government of India; Mr Prabir Sengupta, Distinguished Fellow and Director, TERI.

A large number of participants from government institutions engaged in R&D, academia, project investigators among others took part in the workshop. The workshop programme and the deliberations are given in <u>Annexure III.</u>

ANALYTICAL REPORT ON THE FUNDING PATTERN OF EQUIPMENT

6.0 FUNDING PATTERN OF EQUIPMENTS

6.1 Funding Agency-wise Analysis of Projects and Equipments

Government of India supports and invests in scientific research through various projects and programmes. One of the key components budgeted for funding is for the acquisition of multi-user research equipments and support of research facilities at established institutions. Table 4 below lists the 14 major funding agencies through which Government of India provided funds allocation for the acquisition of scientific equipments under extramural sponsored research and other S&T infrastructure schemes during the period 2008-09 to 2010-11.

Sl No.	Abbreviation	Name of Funding Agency
1	DAE	Department of Atomic Energy
2	DBT	Department of Biotechnology
3	DOC	Department of Coal
4	DRDO	Defence Research and Development Organisation
5	DSIR	Department of Scientific and Industrial Research
6	DST	Department of Science and Technology
7	ICMR	Indian Council of Medical Research
8	ISRO	Indian Space Research Organisation
9	MNRE	Ministry of New and Renewable Energy
10	MOCIT	Ministry of Communications & Information Technology
11	MOEF	Ministry of Environment and Forests
12	MOES	Ministry of Earth Sciences
13	МОР	Ministry of Power
14	MOS	Ministry of Steel

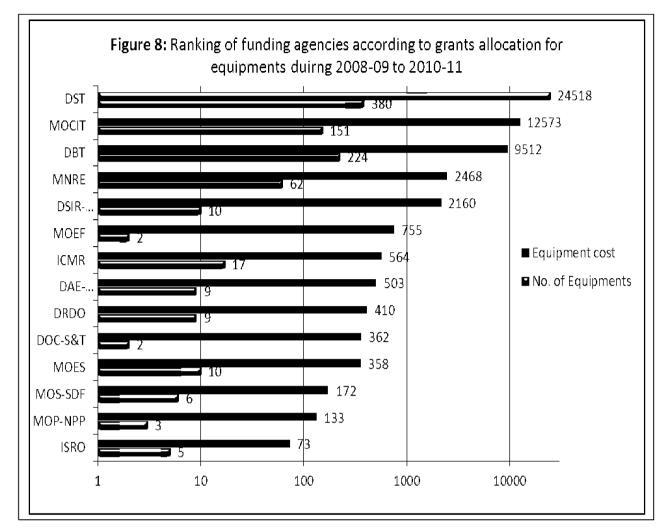
Table 4: Agencies that provided funds for the acquisition of scientific equipments

The Table 5 below shows agency-wise funding for obtaining equipments under the projects. As can be seen, during the period 2008-09 to 2010-11, the total funding support for obtaining 890 items of equipments by the 14 Central and S&T Departments/Agencies were Rs 54564 lakh.

Table 5: Funding support by Central and S&T Departments/Agencies for obtaining equipmentsduring 2008-09 to 2010-11

Funding	No. of Projects	Equipments	Equipment	% of total	Average cost
Agency	(each costing	(each costing	cost	equipment	per equipment
	Rs 50 lakh and	Rs 10 lakh and	(Rs in lakh)	funding	(Rs in lakh)
	above)	above)			
DST	173	380	24518	44.94	64.52
MOCIT	39	151	12573	23.04	83.27
DBT	148	224	9512	17.43	42.46
MNRE	21	62	2468	4.52	39.81
DSIR	4	10	2160	3.96	216.04
MOEF	2	2	755	1.38	377.65
ICMR	11	17	564	1.03	33.18
DAE	8	9	503	0.92	55.94
DRDO	9	9	410	0.75	45.51
DOC	2	2	362	0.66	181.00
MOES	4	10	358	0.66	35.83
MOS	3	6	172	0.32	28.74
МОР	4	3	133	0.24	44.29
ISRO	3	5	73	0.13	14.64
TOTAL	431	890	54564	100.00	61.30

It may be noted that the Department of Science and Technology was the largest contributor with Rs 24518 lakh (45%), followed by the Ministry of Communication and Information Technology Rs 12573 lakh (23%), Department of Biotechnology Rs 9512 lakh (17%), Ministry of New and Renewable Energy Rs 2468 lakh (5%), and Department of Scientific and Industrial Research Rs 2160 lakh (4%) and they together accounted for 94% of the total equipment funding.



It is also note-worthy to mention that the average cost of an equipment was the highest for MOEF (Rs 378 lakh), followed by DSIR (Rs 216 lakh), DOC (181 lakh), and MOCIT (Rs 83 lakh) and DST (Rs 65 lakh). MOS and ISRO provided the lowest amounts (Rs 29 lakh and Rs 15 lakh respectively) per equipment.

Majority of the equipments funded under projects are classified under *Earth Sciences, Chemical Sciences, Medical Sciences, Engineering and Technology*. This indicates a special prominence on R&D in these fields.

6.2 Coverage of Implementing Institutions

During the period 2008-09 to 2010-11, the 14 agencies reached out to 261 institutions in terms of allocating funds for acquisition of equipments each costing Rs 10 lakh and above under the EMR and FIST programmes. There were considerable variation in the coverage of institutions among the agencies during the period. The DST provided support to as many as 97 institutions, DBT, MOCIT, and ICMR 80, 22, and 10 institutions respectively. The coverage of institutions was low (less than 5 institutions) in the case of DSIR, MOP, MOS, ISRO, DOC, MOEF and MOES.

Funding	Number of	Number of	No. of	No. of	Equipment
agency	Institutions	PI s	Projects	Equipments	cost
DST	97	159	173	380	24518
MOCIT	22	36	39	151	12573
DBT	80	137	148	224	9512
MNRE	18	21	21	62	2468
DSIR	4	4	4	10	2160
MOEF	2	2	2	2	755
ICMR	10	11	11	17	564
DAE	6	8	8	9	503
DRDO	7	9	9	9	410
DOC	2	2	2	2	362
MOES	2	4	4	10	358
MOS	4	3	3	6	172
МОР	4	4	4	3	133
ISRO	3	3	3	5	73
TOTAL	261	403	431	890	54564

Table 6: Ranking of agencies according to coverage of institutions during 2008-09 to 2010-11

Table 6 also shows that during the period under study, a total of 403 PIs were given funding support for obtaining equipments each costing Rs 10 lakh and above under projects. Among the agencies, the outreach to scientists was the highest in the case of DST, which provided support to 159 PIs, followed by DBT (137 PIs), MOCIT (36 PIs) MNRE (21) ICMR (11 PIs) and so on.

6.3 Beneficiary Institutions

As shown in Table 7 below, the 10 institutions together received 297 items of equipment at a total cost of Rs 29664 lakh accounting for 54% of total funding. Indian Institute of Technology Bombay got the highest funding support (Rs 6700 lakh) followed by Indian Institute of Technology Madras (Rs 6353 lakh), Indian Institute of Science Bangalore (Rs 5065 lakh), Indian Institute of Technology Delhi (Rs 2999 lakh), and so on.

S.No.	Institution	No of	Grant funding
		equipments	(Rs in lakh)
1	Indian Institute of Technology Bombay	78	6700
2	Indian Institute of Technology Madras	53	6353
3	Indian Institute of Science	54	5065
4	Indian Institute of Technology Delhi	59	2999
5	Eco Recycling Limited	7	2110
6	National Centre for Cell Science	13	1842
7	Inter-University Accelarator Centre	8	1436
8	Calcutta University	16	1137
9	Centre for Biochemical Magnetic Resonance	1	1059
10	Indian Institute of Technology Kanpur	8	962
	TOTAL	297	29664

Table 7: Distribution of Equipments and Grant funding by top 10 Institutions

6.4 Gender Representation Among PIs

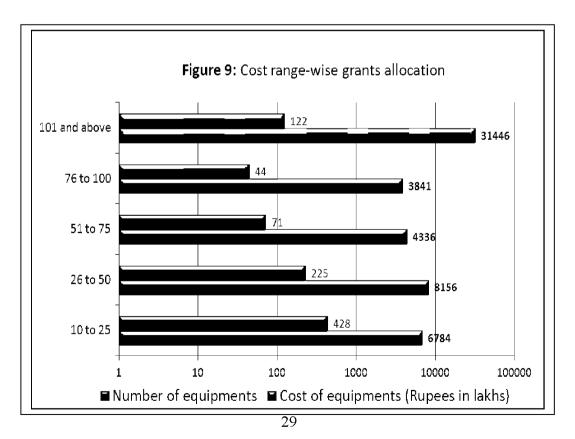
Majority of the projects had male representation, finds the study. It is seen from the Table 8 that the total number of projects having equipments each costing Rs 10 lakh and above approved during the period 2008-09 to 2010-11, according to the survey responses, was 431. The study revealed that there were 75 female and 328 male PIs (403) representing the 431 projects; the percentage of female representation of these projects has been 19 compared to that of 81 of male PIs.

Table 8: Gender representation of projects each costing Rs 50 lakh and above and having
equipment each costing Rs 10 lakh and above

Gender of PIs	PI-based representation	Project-based representation	Number of Equipments	Funding support (Rs. In lakh)
Female	75	87	143	8729
Male	328	344	747	45835
Total	403	431	890	54564

6.5 Cost range-wise analysis of overall purchase of equipments

The amount sanctioned to procure equipments and cost-wise number of equipments is analyzed for the period 2008-09 to 2010-11. Funding support to obtain these equipments varied extensively, ie. from Rs 10 lakh to more than a crore of rupees. Consequently, the funds allocated to all these equipments obtained were grouped into five categories according to the total cost of the equipment, viz. Rs 10-25 lakh; Rs 26-50 lakh; Rs 51 to 75 lakh; Rs 76 lakh to 1 crore; and Rs 1 crore and above as shown in the Figure below. Details of high valued equipments costing Rs 50 lakh and above along with Funding agency, Acquisition type, Institution and State are furnished in *Annexure IV*.

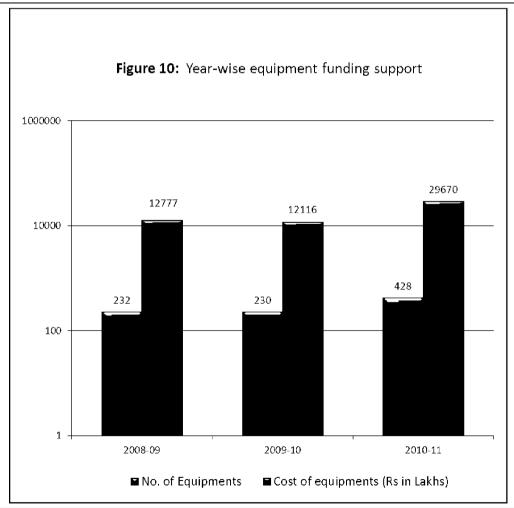


As is seen in the Figure, the maximum number of R&D equipments obtained (428 at a total cost of Rs 6784 lakh) was in the cost range of Rs 10 to 25 lakh constituting 12% of the total grant for equipment; 225 equipments items at a cost of Rs 8156 lakh were in the cost range of Rs 26 to 50 (15%); 71 items at Rs 4336 lakh in the range of 51 to Rs 75 lakh (8%); 44 items at Rs 3841 lakh in the range of Rs 76 to Rs 1 crore (7%); 122 items at Rs 31446 lakh in the range of cost range of above Rs 1 crore constituting 58%.

The number of equipments decreased with increase in the sanctioned cost range of Rs 10 lakh to Rs 1 crore. The figure also indicates the allocation of maximum grant for acquisition of high-cost equipment was in this cost range of Rs 1 crore and above.

6.6 Funding pattern of equipments

The total approved cost for equipments varied for 2008-09 (Rs 12777 lakh); 2009-10 (Rs 12116 lakh); and 2010-11 (Rs 29670 lakh)



There has been an increasing trend in both the number of equipments and approved cost with substantial increase in funding between the period 2008-09, 2009-10 and for the period 2010-11. However, the period 2009-10 witnessed a small decrease in both the number of equipments (230 nos.) and total approved cost of equipment (Rs. 12116 lakh) indicated a drop in support both in terms of equipment and funding.

6.7 Acquisition pattern of import vs indigenous equipments

More money spent on imported items of equipments compared to indigenous. According to the survey responses, the amount spent on acquisition from indigenous and foreign sources during the period 2008-09 to 2010-11 is given in Table 9. It is revealed from the study that majority of the equipments were imported 806 (91%) at a cost of Rs 51345 lakh as against indigenously manufactured equipment 84 (9%) at the cost of Rs 3219 lakh.

Year of funding	Imported		Indigenous	
	Cost of equipment (Rs in lakh)	Number of equipments	Cost of equipment (Rs in lakh)	Number of equipments
2008-09	12243	215	534	17
2009-10	11467	211	649	19
2010-11	27634	380	2036	48
Total	51345	806	3219	84

Table 9: Acquisition pattern of equipments – import vs indigenous

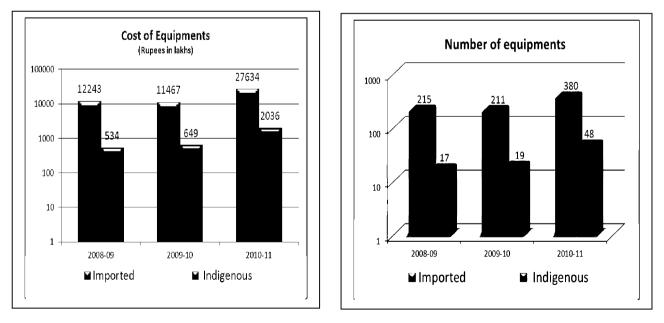
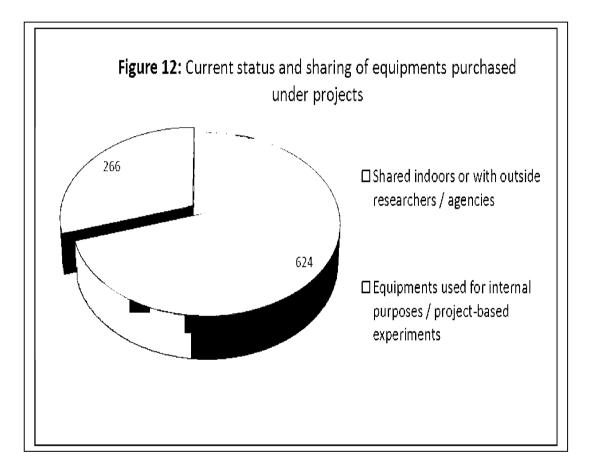


Figure 11: Acquisition pattern of import vs indigenous pattern of equipments

6.8 Resource Sharing of equipments

Sharing of equipments purchased under sponsored projects promotes the cost-effective and efficient use of public resources and facilitates a culture of sharing and presenting improved resource efficiencies. A question concerning 'willingness to share sponsored equipment' was raised through the survey form. An analysis of the data received from PIs revealed that of the 890 items of equipment installed at various institutions across the country, 624 items are already shared indoors or with outside researchers/agencies (Figure 5). Most of the respondents in this category pointed out that willingness to share equipment is mainly for a meaningful scientific collaboration with institutions for worthwhile and measurable outcomes or to get back the running costs for the time used.



The 266 pieces of equipments purchased or indigenously made are used only for internal research purposes and/or configured to be used as per the requirement of the project. There are also issues with many items of sophisticated equipments to do with training or resetting to the original user configuration; lack of dedicated instrument specific technical person to supervise the operation for external usage (iii) apprehension over the safety of sophisticated/costly equipment (iv) requirement of consumables for analysis/sample preparation, hence incurs additional costs.

6.9 Region-wise Distribution of Equipments

6.9.1 <u>City-wise distribution</u>

Funding support for obtaining equipment is provided essentially to recognized academic/ research institutions. Given that most of these institutions are located in state capitals and metropolitan cities, it is useful to analyse the city-wise distribution of equipment under EMR projects.

Based on the analysis of the data received, during the period 2008-09 to 2010-11, the funding for equipments was dispersed among the institutions located in 80 cities/towns in the country [*Annexure V*]. Among these locations, 66 institutions based in seven metropolitan cities, viz., Bangalore, Delhi, Chennai, Hyderabad, Mumbai, Kolkata and Pune, received about 71% of the total funding of Rs 54564 lakh, and the rest in other 73 cities and towns gained about 29% of the total grant support (Table 10).

Type of cities	Number of cities	Approved cost	Percentage of
		(Rs in lakh)	allocation
Metropolitan	7	38766	71
Cities/Towns	73	15798	29
Total	80	54564	100

Table 10: Distribution of equipments funding by type of cities during 2008-09 to 2010-11

6.9.1.1 Distribution of equipments

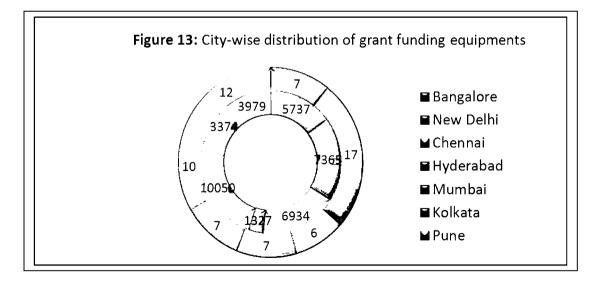
The analysis covered those cities which received Rs 3000 lakh and above as grant amount for obtaining equipments. There are 7 such cities coming under this category. As can be seen from Table 11, 7 institutions located in Mumbai received the highest support of funding (Rs 10050 lakh) which accounted for 18% of the total funding approved during 2008-09 to 2010-11.

This city was followed by New Delhi (17 Institutions; Rs 7365 lakh; 13%), <u>Chennai</u> (6 institutions. Rs 6934 lakh; 13%); Bangalore (7 Institutions; Rs 5737 lakh; 11%); <u>Pune</u> (12 institutions; Rs 3979 lakh; 7%); <u>Kolkata</u> (10 Institutions; Rs 3374 lakh; 6%); and <u>Hyderabad</u> (7 Institutions; Rs 1327 lakh; 2%). 66 institutions in these 7 cities received 542 items of equipments at a total cost of Rs 38766 lakh.

City	Number of	Number of	Equipment	% of total
	Institutions	equipments	cost (Rs in	grant funding
			lakh)	
Mumbai	7	102	10050	18
New Delhi	17	149	7365	13
Chennai	6	68	6934	13
Bangalore	7	66	5737	11
Pune	12	57	3979	7
Kolkata	10	57	3374	6
Hyderabad	7	43	1327	2
Total	66	542	38766	71

Table 11: Distribution of equipments with a total grant funding of Rs 3000 andabove received by select cities

These cities together received about 71% of total grant funding from the central government agencies during 2008-09 to 2010-11. Distribution of funding for equipment by all the 80 cities during 2008-2010 is given in *Annexure IV*.



6.9.2 <u>State-wise Distribution</u>

The state-wise grant funding for equipment by the central government agencies is shown in Table 12. The table shows the ranking of states in terms of range of grant funded to each state. About 80% (Rs 43,666 lakh) of total funding of Rs 54564 lakh was approved to the institutions located in six states. Maharashtra topped the list with a grant funding of Rs 14192 lakh, followed by Tamil Nadu (Rs 8645 lakh), Delhi (Rs 7365 lakh) Karnataka (Rs 6448 lakh), West Bengal (Rs 4028 lakh), and Kerala (Rs 2989 lakh). These states together accounted for about 80% of total number of equipments (633) during 2008-09 to 2010-11.

State	Number of	Grant Funding	Percentage of funding
	Equipments	(Rs in lakh)	
Maharashtra	167	14192	26.0
Tamil Nadu	108	8645	15.8
Delhi	149	7365	13.5
Karnataka	81	6448	11.8
West Bengal	77	4028	7.4
Kerala	51	2989	5.5
TOTAL	633	43666	80.0

Table 12: State-wise grant funding by top six states

Institutions located in the remaining 18 states/UTs, had received about Rs 10,897 Lakh of grant funding for a total of 257 items of equipments. The states receiving a grant funding less than Rs 500 lakh are Puducherry, Himachal Pradesh, Goa, Haryana, Manipur, Chandigarh, Meghalaya, Jammu and Kashmir, and Jharkhand. These 10 states together had 36 items of equipment and accounted for only 2% (Rs 1000.44 lakh) of total grant funding.

6.9.2.1 Equipment funding for North-Eastern Region

During the period, the three states in the north-eastern region, viz. Assam, Manipur, and Meghalaya accounted for only 2.4% (Rs 1289 lakh) of the total grant funding.

State	Number of Equipment	Grant Funding (Rs in lakh)	Percentage of Funding
Assam	32	1139	2.1
Manipur	4	103	0.2
Meghalaya	1	47	0.1
Total	37	1289.0	2.4

Table 13: Equipment Funding received by the three North-Eastern States

6.9.2.2 Dispersal of funding for equipment among states

The extent to which the equipment funding was dispersed among the states can be assessed by using the indicator, *state funding dispersal ratio*. As shown in Table 7.12 below, six states, viz. Maharashtra, Tamil Nadu, Delhi, Karnataka, West Bengal and Kerala accounted 80% of the total funding of Rs 54564 lakh.

State	Number of	Grant Funding	Percentage of
	Equipments	(Rs in lakh)	funding
Maharashtra	167	14192	26.01
Tamil Nadu	108	8645	15.84
Delhi	149	7365	13.50
Karnataka	81	6448	11.82
West Bengal	77	4028	7.38
Kerala	51	2989	5.48
Uttar Pradesh	23	2569	4.71
Andhra Pradesh	49	1571	2.88
Gujarat	29	1368	2.51
Punjab	26	1215	2.23
Assam	32	1139	2.09
Rajasthan	25	778	1.43
Uttarakhand	21	655	1.20
Orissa	16	601	1.10
Pondicherry	9	227	0.42
Himachal Pradesh	5	211	0.39
Goa	3	171	0.31
Haryana	6	119	0.22
Manipur	4	103	0.19
Chandigarh	5	78	0.14
Meghalaya	1	47	0.09
Jammu and Kashmir	2	31	0.06
Jharkhand	1	16	0.03
Grand Total	890	54564	100

Table 14: Ranking of states by equipments grant funding during 2008-09 to 2010-11

7.0 RECOMMENDATIONS

The "Development of Database of Equipment Funded under Extramural R&D Projects" is an important and useful project for both the government and the scientific research fraternity. The database depicts the progress and research trends in various sectors of scientific research and development. Following inferences were derived while conducting the survey:

- 1. Institutionalization of central hub facility of equipments;
- 2. Optimal utilization of scientific resources through resource sharing;
- 3. Appropriate maintenance of scientific resources;
- 4. Avoiding duplication effort to procure the same equipment by the same organization/department;
- 5. Challenges experienced by the PI, while procuring or importing certain equipments;
- 6. Enhancing collaborative research in cross-disciplinary areas;
- 7. Providing appropriate capacity building for technically handling equipments;
- 8. Exchange of technical experts to handle certain sensitive equipments;
- 9. Identifying local vendors or indigenous manufacturers for maintenance, supplying spare parts
- 10. Outcomes of research
- 11. Remuneration to technical staff
- 12. Release of funds/instalments

Recommendation 1: Centralized repository/Central lab facilities

Major equipments purchased from various projects are generally of large significance and hence their proper utilization is very crucial. Most efficient utilization of these equipment can be made by:

- i) making them as a part of central lab facilities covering multidisciplinary areas of research by the host Institute;
- ii) developing ways to share the facilities with other institutes/individual researchers and students to enhance research and training;

Recommendation 2: Optimum usage of equipment/resource sharing

During the survey, it was strongly felt that equipment purchased against projects and programmes should be optimally used for research purpose. After the completion of the project, if the equipment is lying unused then it should be allowed to be used by other research organizations or researchers against a nominal fee, so that the maintenance of the equipment can be carried out.

PIs and Heads of Departments should announce/publicise, through the database, information on auctioning, donating, transferring of old/obsolete equipment thereby facilitating optimum utilization of equipment.

Recommendation 3: Maintenance of scientific resources

During the survey, it was realized that there is a need for appropriate maintenance of these scientific equipment. AMC should be renewed to increase the life span of the equipment. Periodic upgradation of the equipment would be required, wherever it is possible. Separate funds should be allocated or the respective institution should spend from their own corpus.

Upgrading equipment

As technology becomes obsolete due to rapid technological advances, additional financial support is required for upgrading the equipment to maintain its technical standard. Upgrading the equipment may be required even after completion of project.

Maintenance of equipment

There should be a special grant programme for renewal of annual maintenance costs for equipment, especially spare parts and accessories. Many a time, equipments remain unutilized because of lack of maintenance or inadequate maintenance which can even lead to accidents and health problems.

Recommendation 4: Avoiding duplication of purchase of same equipment by the same organization

Recommendation 5: Exploring the right vendor offering right cost for the equipment

Recommendation 6: Enhance collaborative research in cross-disciplinary areas

The database will facilitate a culture of sharing scientific equipments thereby promoting scientific collaboration among institutions

Recommendation 7: Manpower training

Government needs to additionally invest in training of laboratory manpower for operating the specialized / state-of-the-art equipment. This will be useful in optimal utilization of the equipment; as also retention of trained professionals for the full term of the project thereby preventing delay in the timely completion of the project;

There should be provision for organizing capacity building programmes at different levels such as from Technical Assistant to Lab Attendant level;

After completion of a project, the trained technical expert should be absorbed in the same organisation or to be shifted to other organization/centre. Because in many cases, after the completion of project, the technical experts are no longer available and as a result the equipment is lying idle in the organization.

<u>Recommendation</u> 8: Exchange of technical experts to handle certain sensitive equipmentIf there is a paucity of technical experts to handle sensitive equipment, then there could be an exchange programme between institutions can be devised.

Recommendation 9: To identify to local vendors or indigenous manufacturers for maintenance, supplying spare parts

Obtaining imported equipments, in many cases, was time consuming due to fluctuations in foreign exchange rate and at times lack of stock of the required equipment parts. There are quite a number of other constraints when it comes to purchase of equipments.

One possible solution could be to encourage indigenous manufacture of equipment by the Government. For this, a conducive policy framework and guidelines needs to be in place.

Recommendation 10: Outcomes of Research

Scientific agencies of central government support scientific and technological research in the form of extramural or sponsored R&D projects. Measurement of outcome of these projects is necessary to assess value for money invested in these projects. While we may have many yardsticks for such measurement, we have found following could be used as standard for all projects:

- > Research papers published and presented
- > PhDs produced
- > New principle/theory developed
- Instruments /products developed

Recommendation 11: Remuneration to technical staff

Staff in the technical category is not willing to work with the current salary structure fixed for JRF or SRF by the Government. The salary given is very low as compared to what is offered in the corporate or public sector undertakings. Government may look into the present salary structure for JRF or SRF for consideration.

Recommendation 12: Release of funds/instalments

Enormous delay in releasing funds/instalments by the funding agency obstruct processing of equipment purchase. This leads to delay in timely project completion. The government may look into the current system and consider incorporating specific payment schedule in the project contract.

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8.0 REFERENCES

- 1. Directories of Extramural Research and Development Projects 2008-09, 2009-10 and 2010-11
- 2. FIST programme 2008, 2009 and 2010. Department of Science and Technology, Governmentof India